1 THE NEED FOR INDEPENDENT RESEARCH ON THE HEALTH EFFECTS OF

- 2 GLYPHOSATE-BASED HERBICIDES
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35 ABSTRACT

36 Background. Glyphosate, formulated as Roundup, is the world's most widely used 37 herbicide. Glyphosate is used extensively on genetically modified (GM) food crops 38 designed to tolerate the herbicide, and global use is increasing rapidly. Two recent 39 reviews of glyphosate's health hazards report conflicting results. An independent 40 review by the International Agency for Research on Cancer (IARC) found that 41 glyphosate is a "probable human carcinogen". A review by the European Food Safety 42 Agency (EFSA) found no evidence of carcinogenic hazard. These differing findings 43 have produced regulatory uncertainty.

44 Regulatory actions. Reflecting this regulatory uncertainty, the European Commission 45 on November 27 2017, extended authorization for glyphosate for another 5 years, 46 while the European Parliament opposed this decision and issued a call that pesticide 47 approvals be based on peer-reviewed studies by independent scientists rather than on 48 the current system that relies on proprietary industry studies.

49 Ramazzini Institute response. The Ramazzini Institute has initiated a pilot study of glyphosate's health hazards that will be followed by an integrated experimental 50 51 research project. This evaluation will be independent of industry support and entirely 52 sponsored by worldwide crowdfunding. The aim of the Ramazzini Institute project is 53 to explore comprehensively the effects of exposures to glyphosate-based herbicides at 54 current real-world levels on several toxicological endpoints, including 55 carcinogenicity, long-term toxicity, neurotoxicity, endocrine disrupting effects, 56 prenatal developmental toxicity, the microbiome and multi-generational effects.

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58 **KEY WORDS**:

59 Glyphosate, Roundup, Glyphosate Based Herbicides, GBH, carcinogenicity,

60 crowdfunding

61 **BACKGROUND**

62 History and use

63 Glyphosate is the world's most widely used herbicide [1]. Glyphosate Based 64 Herbicides (GBHs) were first authorised for agricultural use in the US in 1974 by the 65 Environmental Protection Agency. In Europe, glyphosate was authorised by the 66 European Commission in 2002. In the US, glyphosate use has increased by more than 67 250-fold in the past 4 decades — from 0.4 million kg in 1974 to 113 million kg in 68 2014. Global glyphosate use has also increased from 3,200 tons/year in 1974 to 69 825,000 tons/year in 2014, and glyphosate is now used in over 140 countries [1]. In 70 future years, glyphosate use is projected to continue to increase and by 2020 is 71 estimated to reach one million tons per year.

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73 Glyphosate, formulated as Roundup, is used on corn and soybeans that have been 74 genetically engineered to be resistant to glyphosate. These "Roundup- Ready" crops 75 were first introduced in the mid-1990s and now account for more than 90% of the 76 corn and soybeans planted in the United States [2]. Today glyphosate is contained in 77 over 750 commercial herbicide products designed for intensive crop-growing, market 78 gardening and gardens in general. This massive use of glyphosate in the most varied 79 sectors of agriculture has led to widespread environmental dissemination. Trace 80 levels of glyphosate can now be found widely in soil, foodstuffs, air and water as well 81 as human urine [3; 4; 5].

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83 **Regulatory actions**

84 On November 27 2017, the European Commission extended the authorization for

glyphosate for another 5 years. The European Parliament, however, opposed this decision and issued a call for pesticide approvals to be based on published peerreviewed studies by independent scientists instead of the current system, which is largely based on unpublished proprietary studies. Regulatory uncertainty and debate are extensive [6; 7]. Key milestones in the risk assessment process that has led to the current regulatory debate about the safety of glyphosate may be summarized as follows:

March 2015: the World Health Organization's International Agency for Research
 on Cancer (IARC) conducted an extensive review of the published peer reviewed epidemiologic, toxicologic and genetic literature on glyphosate,
 independent of influence by the pesticide manufacturing industry, and
 concluded that glyphosate is "probably carcinogenic to man" (Category 2A
 [8]).

 November 2015: the EFSA deemed glyphosate "unlikely to pose a cancer risk for man". That conclusion was based on a glyphosate renewal assessment report (RAR) presented in January 2014 by the Federal German Institute for Risk Assessment (Bundesinstitut für Risikobewertung, BfR) [9]. The EFSA and RAR review groups included scientists that did not disclose their names and financial interests and also relied on unpublished, non-peer-reviewed reports generated by industry [10].

March 2017: following a heated argument over the safety of glyphosate, and numerous deferments of the European ballot, the European Union (EU) appointed the European Chemicals Agency (ECHA) to look into the issue of glyphosate toxicity. The ECHA's Risk Assessment Committee analysed an enormous amount of scientific data and concluded that "the scientific evidence

so far available does not satisfy the criteria for classifying glyphosate as
carcinogenic, mutagenic or toxic for reproduction." [11]. According to the
ECHA, glyphosate may cause grave damage to the eyes and be toxic to
aquatic organisms with long-term effects.

114 November 2017: The EU voted to extend glyphosate authorization for an abbreviated period of five years; the Acceptable Daily Intake (ADI) was 115 increased from 0.3 to 0.5 mg/kg bw/day [12]. The deliberation frustrated 116 117 parties on all sides. Agrochemical companies criticized the review process as 118 driven more by politics than science after it became clear that the weed killer's 119 use would not be re-authorized for the 15 years typical for such chemicals. 120 Environmental advocates said that the agrochemical industry had tainted scientific reviews in Europe by interfering in them. 121

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123 MAIN TEXT

124 The Ramazzini Institute Research Project

Pilot study. A 'pilot' experimental study of the toxicity of GBHs was carried out at 125 126 the Ramazzini Institute in 2016 (Ministerial Authorization N° 710/2015-PR, issued on 127 17/7/2015) where both glyphosate alone and its formulation Roundup have been 128 tested. In fact glyphosate alone and its formulations could have different effects. For 129 example, the adjuvants present in the formulation might potentiate the toxic effects of 130 glyphosate [13]. To set this study in motion, the Institute built up a network of 131 authoritative partners including the University of Bologna (Faculties of Agriculture, Veterinary Science and Biostatistics), the Genoa Istituto Tumori, the Istituto 132 133 Superiore di Sanità (ISS), the Icahn School of Medicine at Mount Sinai, New York, and the George Washington University, Washington, DC. 134

135 The study was designed to assess the techniques and methods for detecting 136 glyphosate and its metabolites in different matrices [14] and to develop methods for 137 assessing organ toxicity, genotoxicity, molecular toxicity, reproductive/developmental 138 toxicity, endocrine disruption and microbiome alteration [15]. In this pilot study, glyphosate and Roundup were both tested at a dose considered to be "safe"-139 140 corresponding to the ADI of glyphosate currently allowed in the US, defined as the 141 chronic Reference Dose (cRfD) determined by the US EPA [16], namely 1.75 mg/kg 142 bw/day.

143 Initial results from this pilot study were presented during the Annual Ramazzini Days (26-29 October 2017). These preliminary findings suggest that glyphosate and 144 145 Roundup - even at doses deemed safe, i.e., at doses equivalent to the current ADI and 146 with relatively short exposure time, from pregnancy until 13 weeks after weaning in human-equivalent terms from pregnancy to approximately 18 years of age - might be 147 148 able to alter certain important biological parameters related to sexual development, genotoxicity and alteration of the intestinal bacterial flora. Other important parameters 149 150 are under investigation that pertain to effects on target organs such as mammary 151 gland, kidney and liver, the hormonal status in the blood, and chromosome alterations 152 in sperm. All the results will be submitted for publication in this journal [14, 15].

A pilot study is, by definition, of short duration and involves fewer animals than a comprehensive experiment. Therefore, it can provide only limited information and is not designed to detect chronic effects and diseases of late onset such as cancer. Thus the Ramazzini Institute pilot study is not able to resolve the current regulatory uncertainty around glyphosate. However, the findings of the pilot study do highlight potentially serious health effects that might manifest as long-term oncologic pathology and could affect very large numbers of people, given the great and growing 160 global use of the GBHs. Clearly these findings deserve further follow-up.

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162 Future research. To follow up on the Ramazzini Institute pilot study, a more 163 comprehensive investigation is necessary and it must examine the effects of a range of 164 different environmentally relevant doses of glyphosate alone and GBHs. Therefore, 165 in 2015, the Ramazzini Institute designed a comprehensive, integrated experimental 166 approach to a long-term project following an already published protocol through 167 which numerous parameters bearing on human health might be simultaneously 168 monitored, thereby sparing animals [17]. In fact, proprietary studies conducted on 169 behalf of the manufacturers often represent a limited investigation of the various 170 toxicological effects now studied by academic and government scientists. The 171 integrated study proposed by the Ramazzini Institute is based on a stepwise process that includes the priority end points of the Economic Co-operation and Development 172 173 and the National Toxicology Program guidelines on carcinogenicity and chronic 174 toxicity in addition to developmental and reproductive toxicity, exploring multiple windows of susceptibility of specific interest for risk assessments and public health 175 176 decision-making such as prenatal, lactational and neonatal exposures. Such an 177 integrated toxicological study is needed, together with further epidemiological 178 evidence, for an independent and comprehensive assessment of the possible risks 179 resulting from the ubiquitous exposure to GBHs.

As in the pilot study, both glyphosate and the commercial formulation Roundup will be tested in the integrated study. A human-equivalent model will be used to determine the dose-levels to be administered and the exposure period, which will include mating and gestation. Detailed assessments will examine the toxic effects in terms of the intestinal microbiome, gene expression and parameters relating to fertility, defects in development, effects on the nervous system and any treatmentrelated differences in the incidence of various tumours. This will be the most comprehensive study on GBHs to date and it will last 3-4 years.

To preserve independence from the pesticide-manufacturing industry and from its competitor (i.e. organic food industry), this integrated study will be supported through a global crowd-funding campaign that will be open to the world's citizens, nongovernmental organizations (NGOs) and national/international institutions. Details of this campaign are available at: www.glyphosatestudy.org.

193 To provide ongoing review of the integrated study, we intend to set up an external 194 international scientific committee that will evaluate the study plan, the conduct of the 195 study and review study results as they become available. We also plan to gather together all stakeholders interested in using our results to ascertain the degree of 196 hazard involved in GBH exposure. These will include: IARC, EFSA, ISS, the 197 198 National Institute of Environmental Health Sciences, and others, including NGOs 199 representatives. Study results will be available by the time of the next EU decision on 200 the reauthorization of glyphosate in 2022.

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202 CONCLUSIONS

Whatever the outcome of the Ramazzini Institute study, the findings will provide regulatory agencies and policy-makers with solid independent results obtained by a shared research project on which they can confidently base their risk assessments and their evaluations, including the upcoming decision for the reauthorization for glyphosate use in Europe in 2022.

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ABBREVIATIONS:

- 210 GM: genetically modified; IARC: International Agency for Research on Cancer;
- 211 EFSA: European Food Safety Agency; GBH: Glyphosate Based Herbicides; RAR:
- 212 renewal assessment report; EU: European Union; ECHA: European Chemicals
- 213 Agency; ISS: Istituto Superiore di Sanità;
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- 216 Ethics approval and consent to participate: N/A
- 217 **Consent for publication:** N/A.
- 218 Availability of data and material: N/A
- 219 **Competing interests**: the authors declare that they have no competing interests

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